

Review on theories beyond DMFT

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DMFT $\Sigma(\omega, \mathbf{k}) \rightarrow \Sigma(\omega)$

○ Mott insulator
Heavy fermion
Emergent ordered state
Einstein phonon

✗ Ordered state beyond MF
Unconventional SC
Fermi surface structure
Transport



Beyond DMFT
 $\Sigma(\omega, \mathbf{k})$



Model calculations

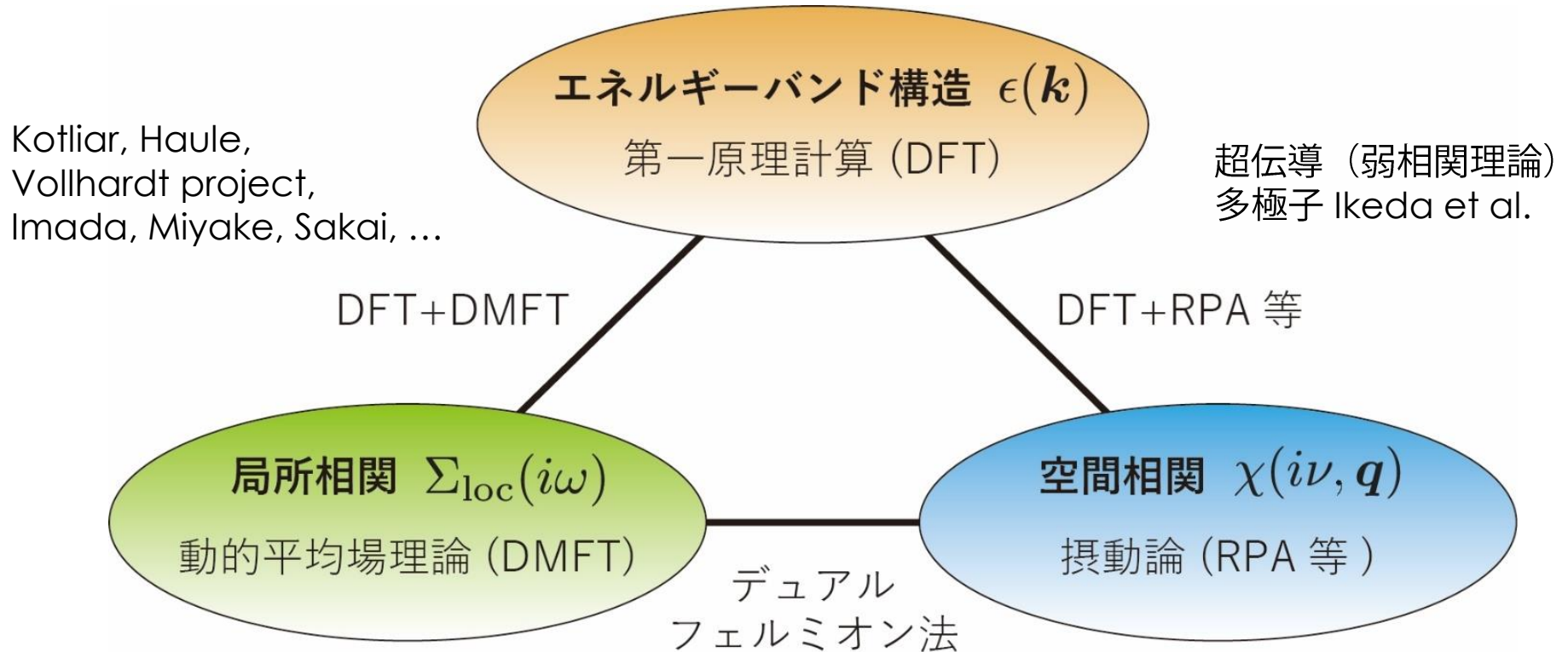
2次元格子
厳密な定理
(e.g. Mermin-Wagner)

Material calculations

適度な計算量
時には大胆な近似

強相関化合物における磁性と超伝導の計算に向けて

大槻純也、楠瀬博明：固体物理 2016年4月号

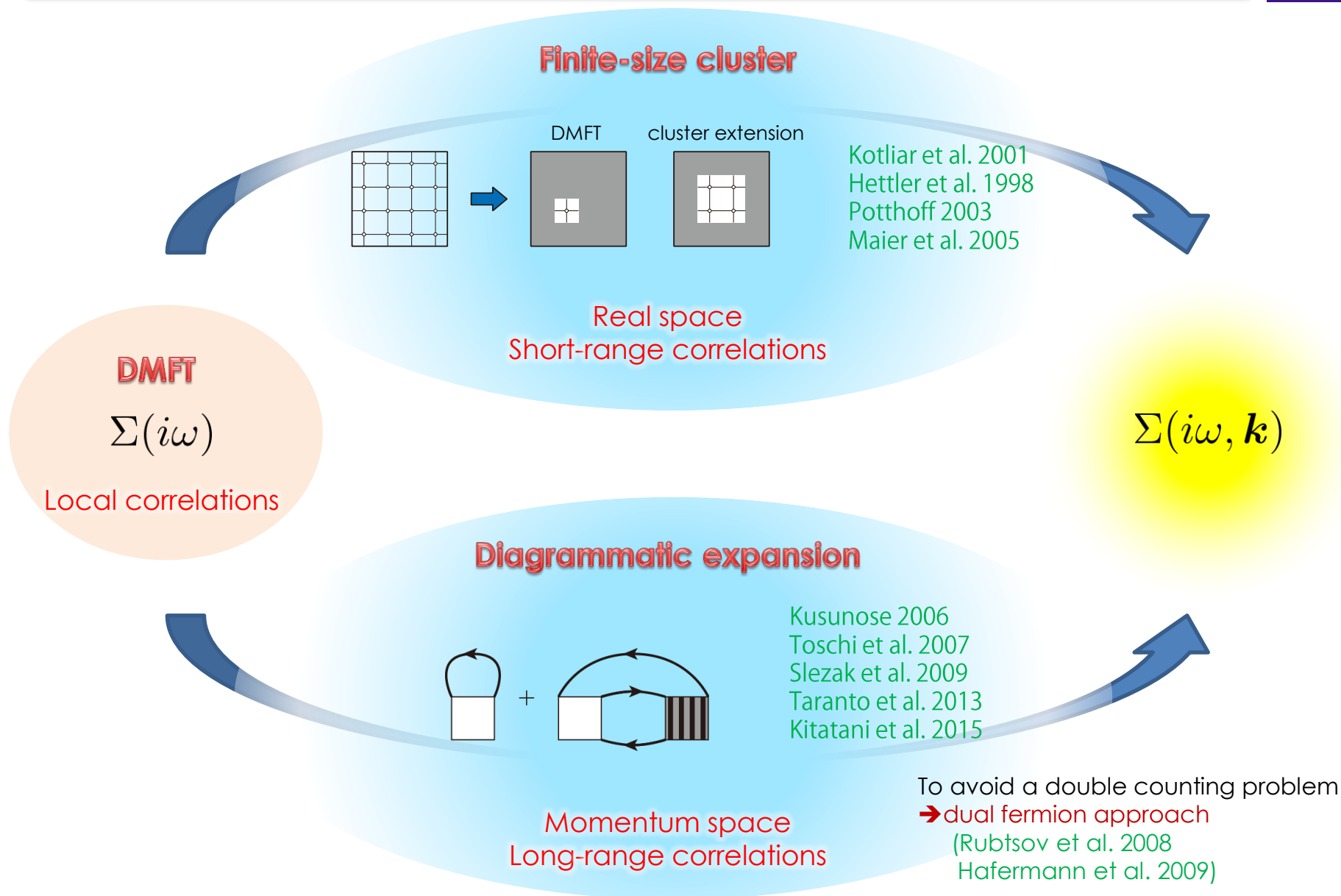


Kotliar, Haule,
Vollhardt project,
Imada, Miyake, Sakai, ...

超伝導 (弱相関理論)
多極子 Ikeda et al.

Kusunose, Toschi et al, Rubtsov et al, Hafermann et al.
JO et al, Taranto et al, Kitatani et al., ...

Two roots of including non-local correlations

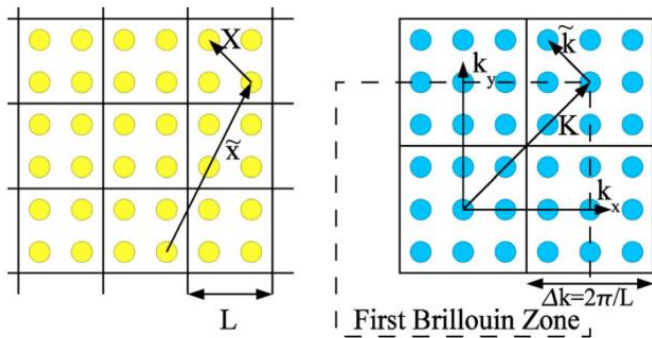


- i. *Cluster extensions*
- ii. *Diagrammatic extensions*
- iii. *Non-local interactions*

Cluster extensions

Cluster extensions

single impurity \rightarrow cluster impurity



Self-consistency condition in

- r-space: Cellular DMFT (C-DMFT)

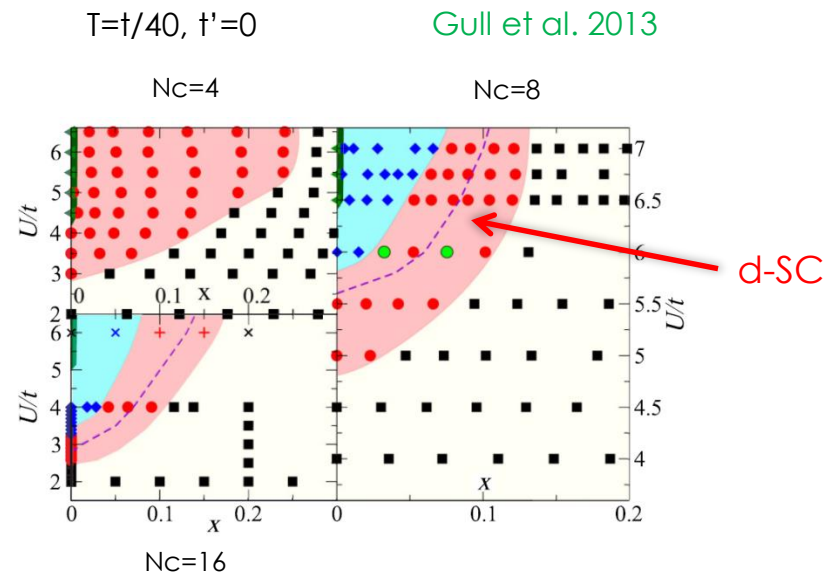
Hettler et al. 1998

- k-space: Dynamical Cluster Approximation (DCA)

Kotliar et al. 2001

short-range correlation

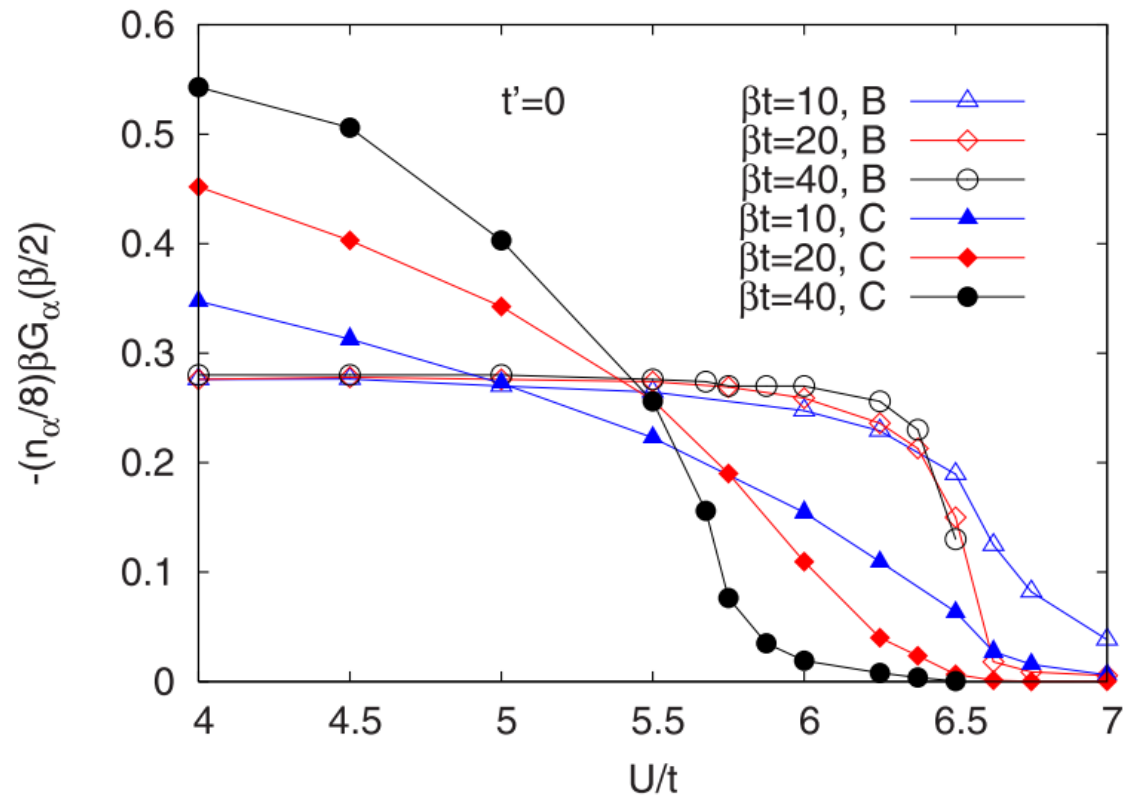
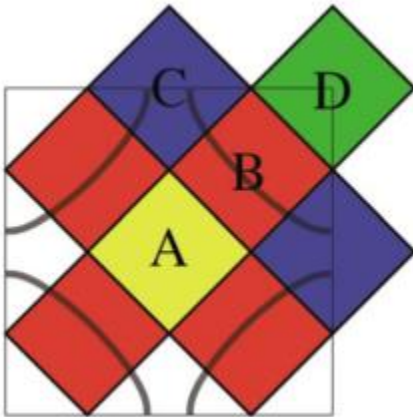
- Dimer
- Plaquette singlet
- Frustration?
- d-wave superconductivity ($N_c \geq 4$)



Momentum-selective Mott transition

Werner, Gull, Parcollet, Millis, 2009

DCA

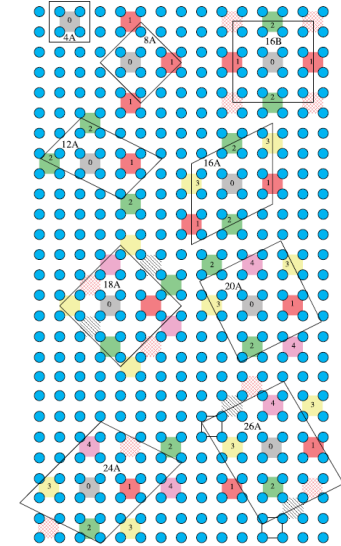
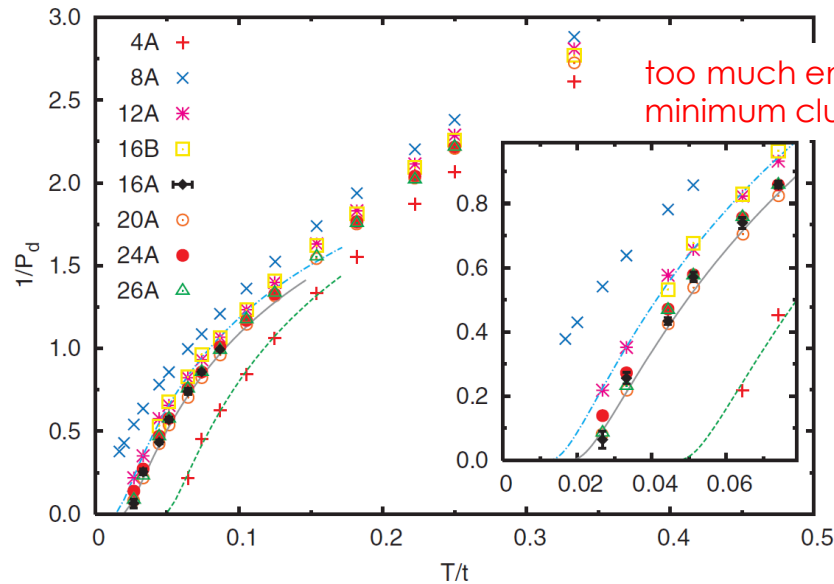


Problems in cluster DMFT

(i) Finite size effect

Maier et al., PRL **95**, 237001 (2005)

d-wave pairing susceptibility



(ii) Sign problem in QMC

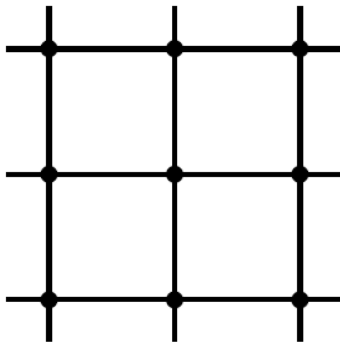
$$\langle \text{sign} \rangle \sim e^{-\beta \Delta} \quad \text{Sever sign problem for } N_c > 1$$

Very difficult to access low-T and thermodynamic limit

Self-energy Functional Theory (SFT)

Potthoff EPJB 32, 429 (2003)

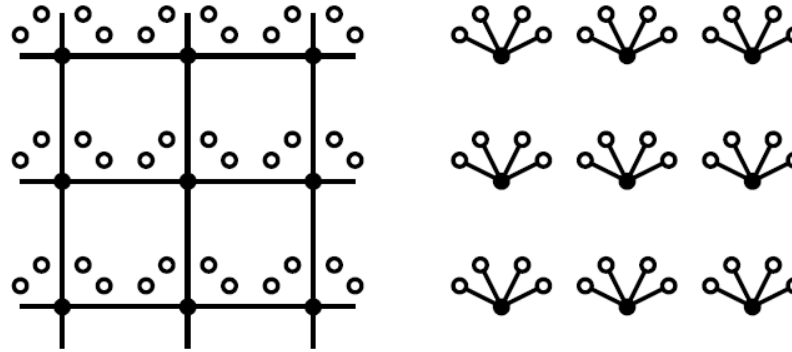
Original system



(a)

$$H = H_0(\mathbf{t}) + H_1(\mathbf{U})$$

“reference system” (solvable)



(b)

(c)

$$H' = H_0(\mathbf{t}') + H_1(\mathbf{U})$$



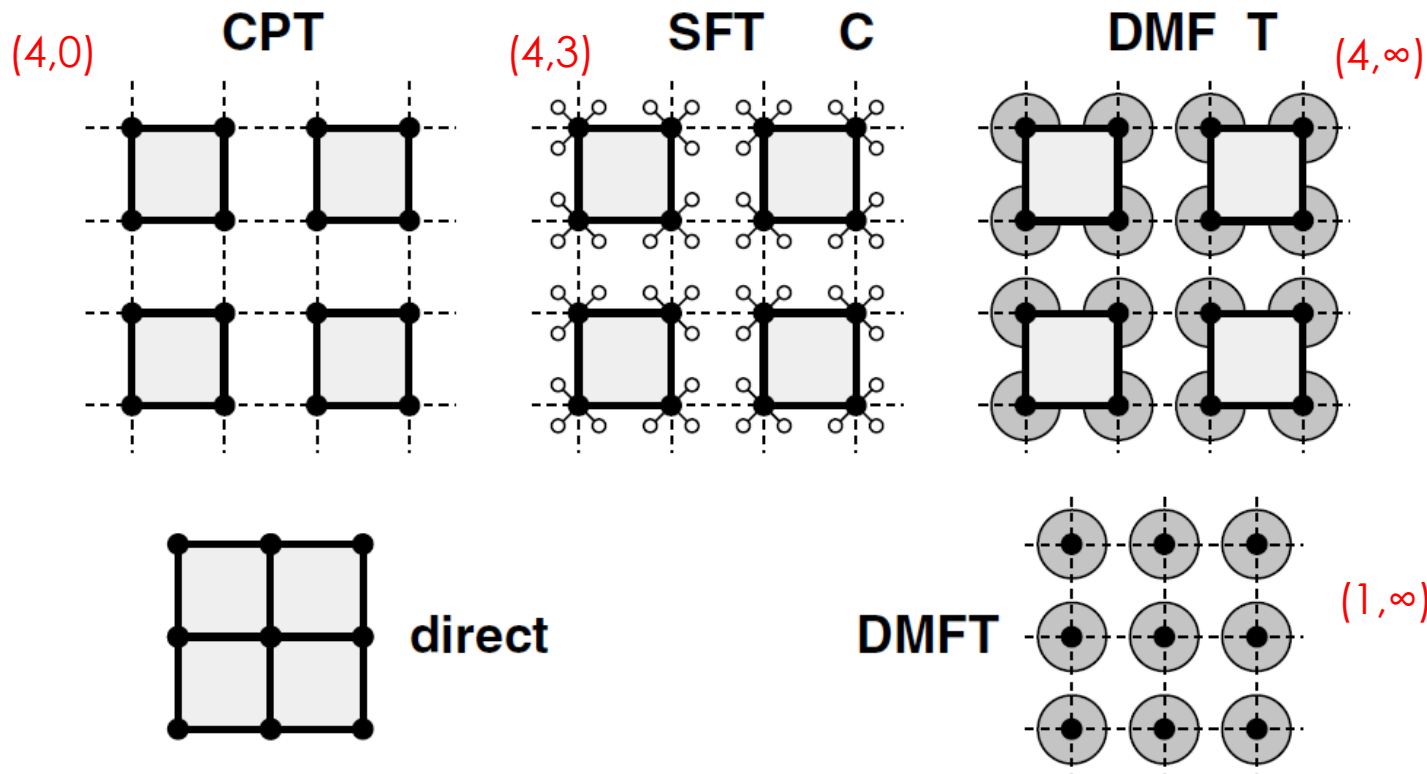
Ω

Ω'

Choices of reference systems

Potthoff et al. PRL (2003)

(N_c, n_b)

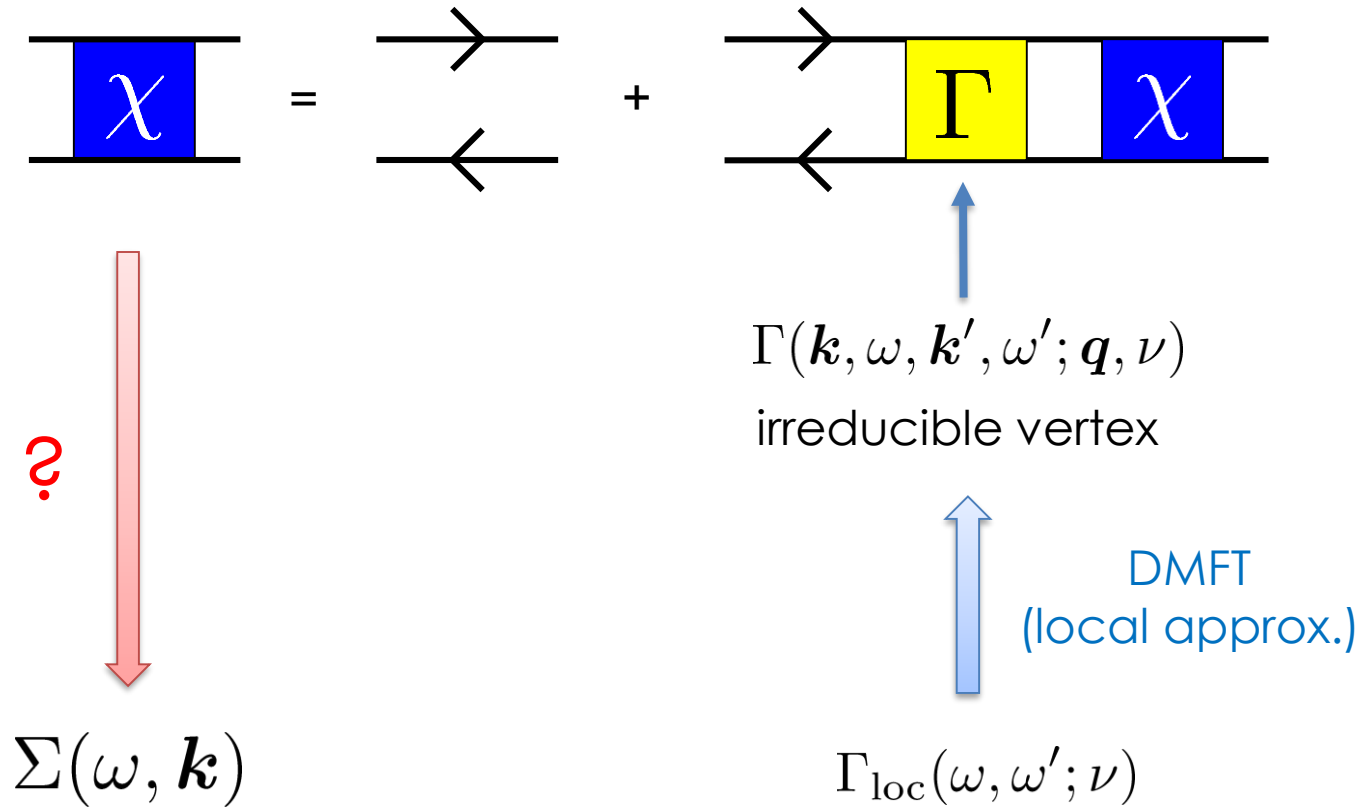


additional symmetry breaking field
 → VCA (Variational Cluster Approximation)

Diagrammatic extensions

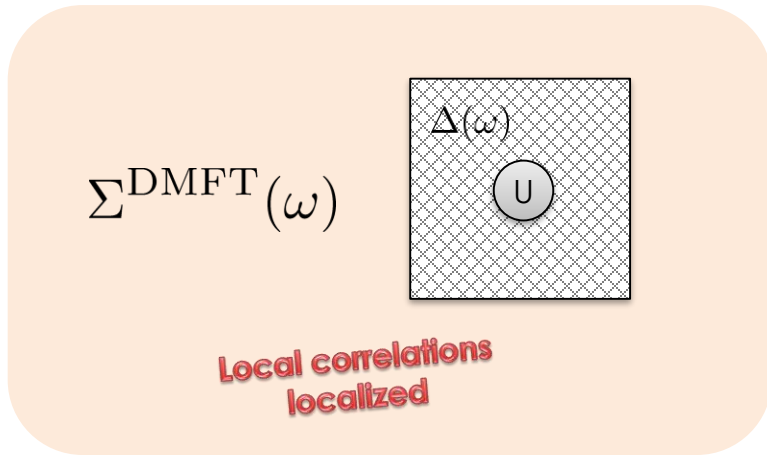
Two-particle correlations in DMFT

Bethe-Salpeter equation

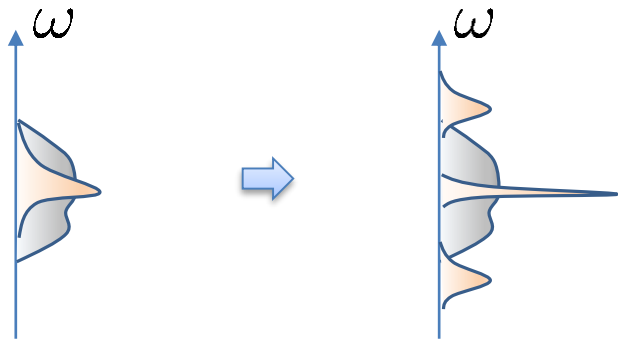
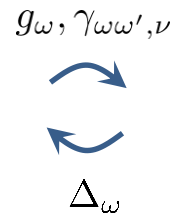
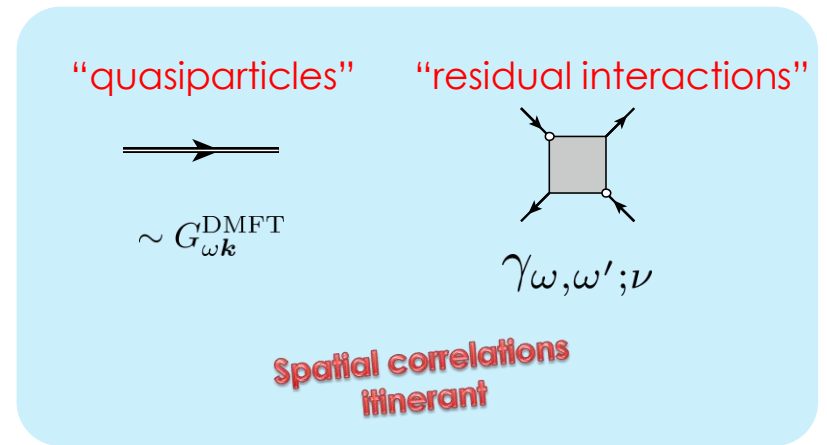


Expansion around DMFT: General idea

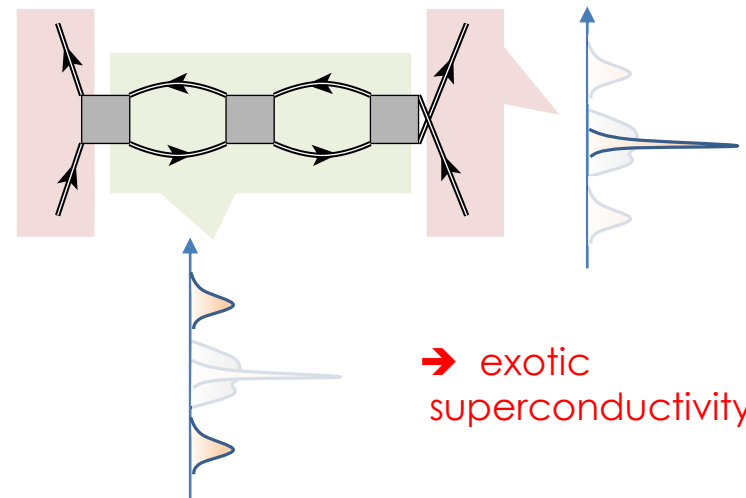
(1) Dynamical mean-field theory (DMFT)



(2) Auxiliary fermion lattice



Heavy fermions
Mott insulator



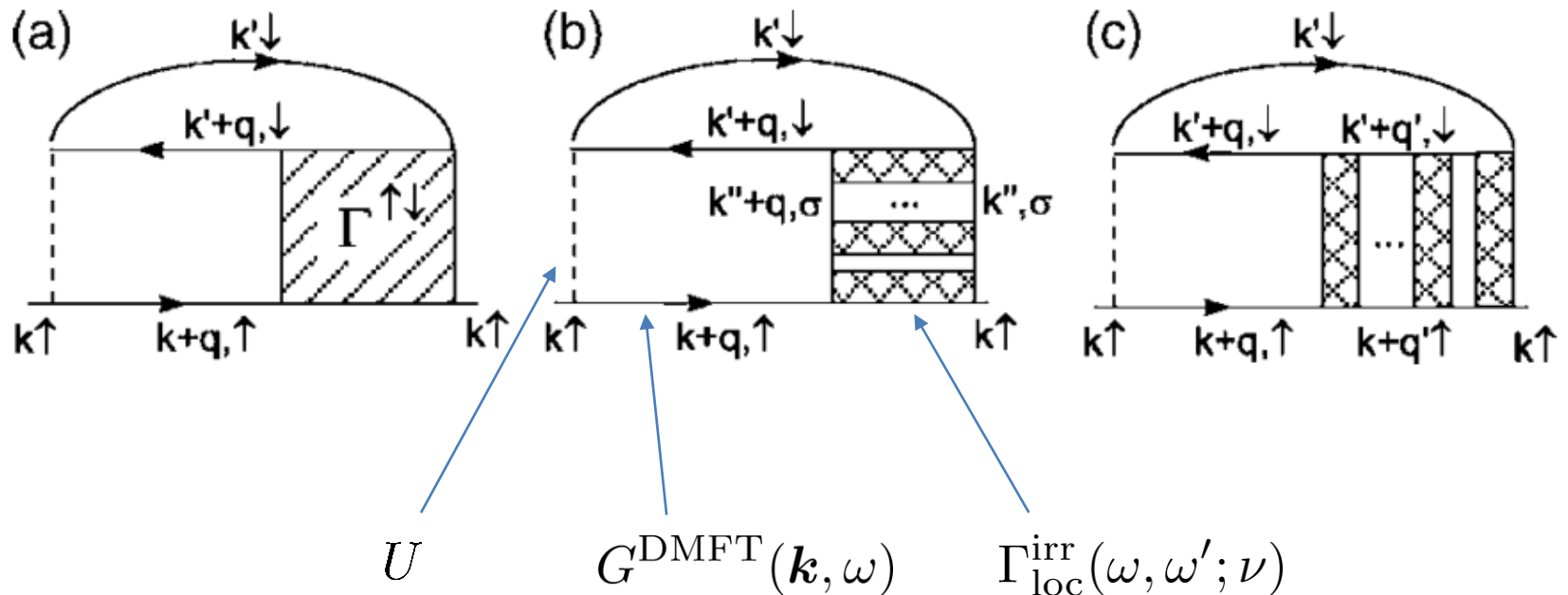
Comparison in terms of quantities used

Name	Authors	Input to effective lattice	Input to effective impurity
DGA	Kusunose 2006 Toschi et al. 2007	G, U, Γ	μ , U, $\Delta(\omega)$
Dual fermion	Rubstov et al. 2008	G, Γ	μ , U, $\Delta(\omega)$
DMF ² RG	Taranto et al. 2014	G, U	μ , U, $\Delta(\omega)$
FLEX+DMFT	Kitatani et al. 2015	G, U	μ , U, $\Delta(\omega)$
TRILEX	Ayral, Parcollet 2015	G, U, Λ	μ , U, $\Delta(\omega)$, $U(\omega)$, $J(\omega)$

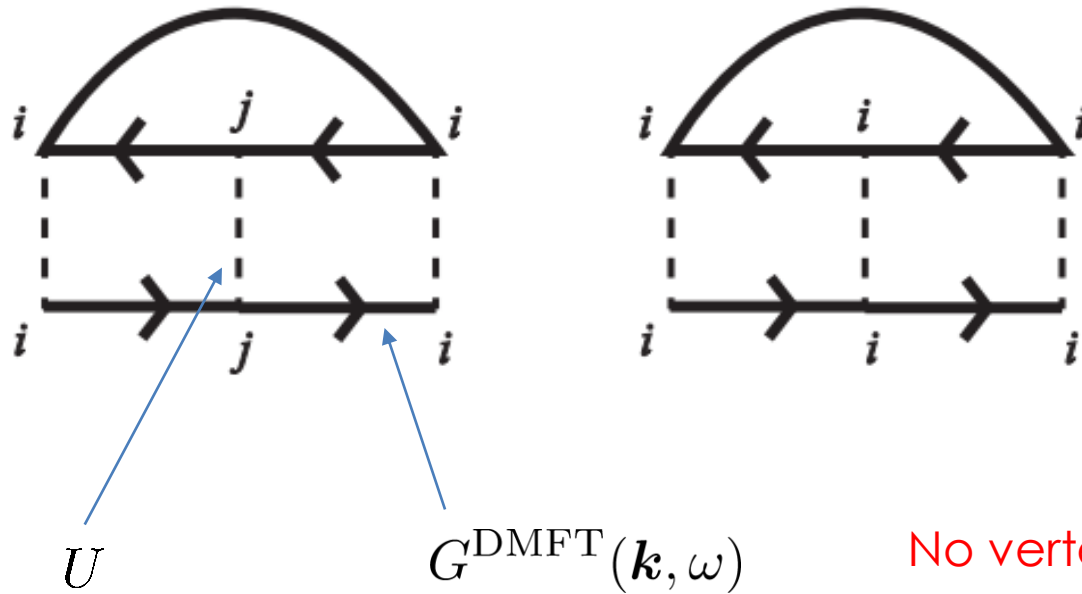
Dynamical vertex approximation (DΓA)

Kusunose 2006
Tosch et al. 2007

$$\Sigma(\omega, \mathbf{k})$$



$$\Sigma(\omega, \mathbf{k})$$



No vertex used

Dual fermion approach

Rubtsov, Katsnelson, Lichtenstein 2008
Hafermann et al. 2009

$$\longrightarrow = \tilde{G}_{\omega \mathbf{k}}^0 \equiv G_{\omega \mathbf{k}}^{\text{DMFT}} - g_{\omega}$$

$$\begin{array}{c} \circ \\ \swarrow \\ \square \\ \searrow \\ \circ \end{array} = \gamma_{\omega, \omega'; \nu} \quad (\text{full vertex})$$

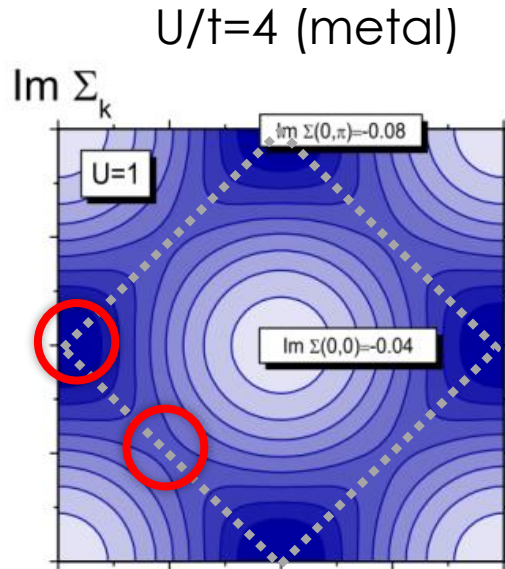
No bare interaction enters

$$\tilde{\Sigma}_{\omega \mathbf{k}} = \underbrace{\begin{array}{c} \circ \\ \uparrow \\ \square \\ \downarrow \\ \circ \end{array}}_{=0} + \begin{array}{c} \circ \\ \uparrow \\ \square \\ \downarrow \\ \circ \end{array} + \begin{array}{c} \circ \\ \uparrow \\ \square \\ \downarrow \\ \circ \end{array} + \dots$$

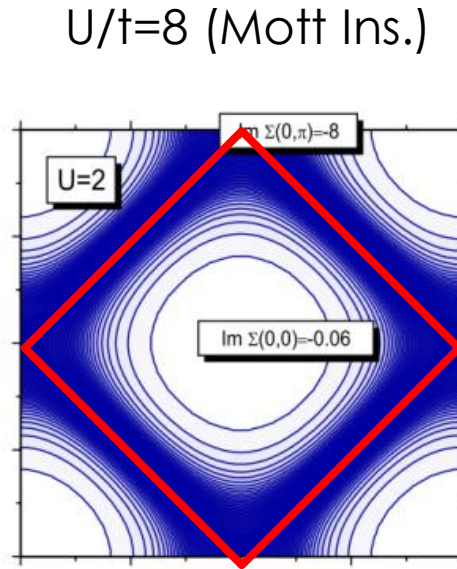
Example: Self-energy

Rubtsov, Katsnelson, Lichtenstein 2008

$\text{Im}\Sigma(0, \mathbf{k})$

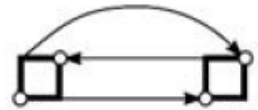


k-dependent renormalization



Energy gap on the Fermi level

n=1, T/t=0.2

$$\tilde{\Sigma}_{\omega \mathbf{k}}^{(2)} = \text{Diagram}$$


cf. Mott gap in DMFT

$$\text{Im}\Sigma^{\text{DMFT}}(\omega) \sim -\delta(\omega)$$

Fermi-surface structure + Strong local correlations

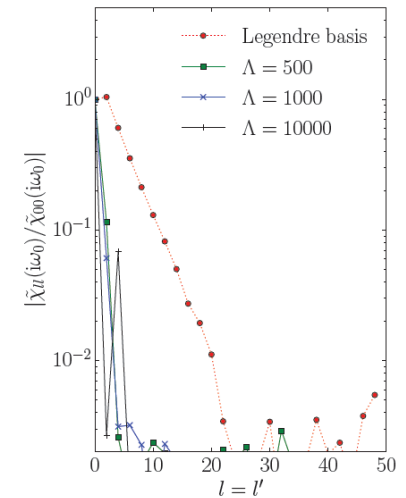
Applications and development of DF approach

- Dual fermion + Ladder approximation
 - Hafermann et al. 2009, Paramagnon spectra
 - Li 2013, Kondo lattice
 - Antipov et al. 2014, Falikov-Kimball model
 - JO et al. 2014, Superconductivity in Hubbard model
 - JO 2015, Superconductivity in Kondo lattice
 - Tanaka, next talk
 - Takemori et al., Quasiperiodic lattice (2nd order)
- DGA

バーテックスをどうやって計算するか、どうやって近似するか

$$\gamma(i\omega, i\omega'; i\nu)$$

- 重要な要素のみ残す。 $\omega = \omega'$, $\omega + \omega = \nu$
- Intermediate representation bw $i\omega$ and ω
[Shinaoka et al., arXiv:1702.03054](#)



$$\Gamma(i\omega, \mathbf{k}, i\omega', \mathbf{k}'; i\nu, \mathbf{q})$$

- diagrammatic Monte Carlo, [Iskakov et al. 2016](#)
- Parquet equation, [Li et al. 2016](#)
- fRG, [Taranto et al. 2014](#)

固体物理 4

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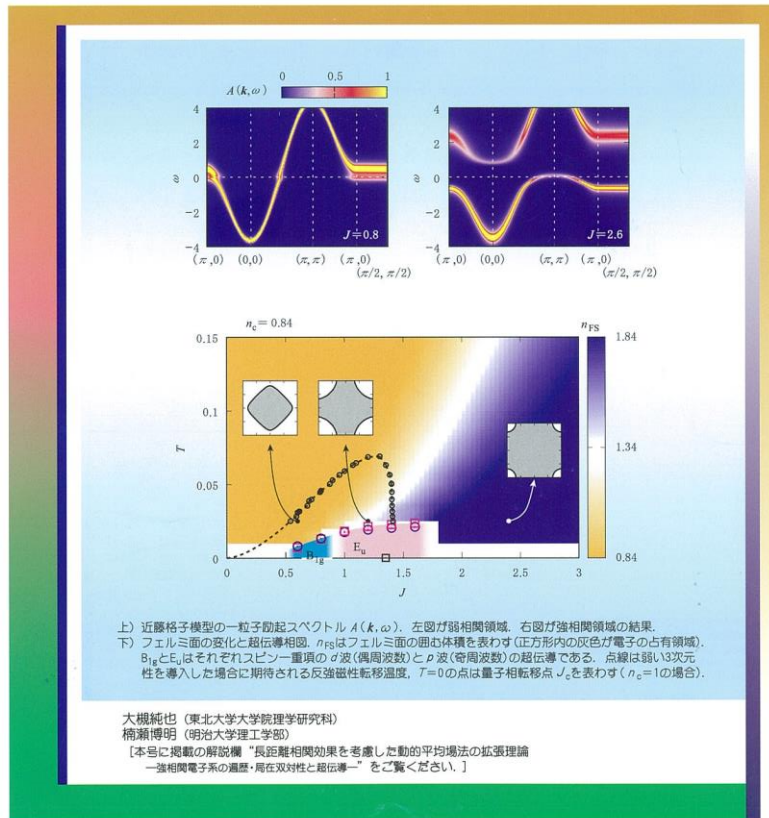
- 角度分解光電子分光による精密測定で解き明かす銅酸化物高温超伝体の擬ギャップと超伝導ギャップの競合関係
- 長距離相関効果を考慮した動的平均場法の拡張理論 — 強相関電子系の遍歴・局在双対性と超伝導 —
- モット転移とその周辺の物理 — 多体変分法の視点から — (その2: 最終回)
- 圧力下の半金属黒磷における異常量子輸送現象

長距離相関効果を考慮した動的平均場法の拡張理論 — 強相関電子系の遍歴・局在双対性と超伝導 —

大槻純也、楠瀬博明

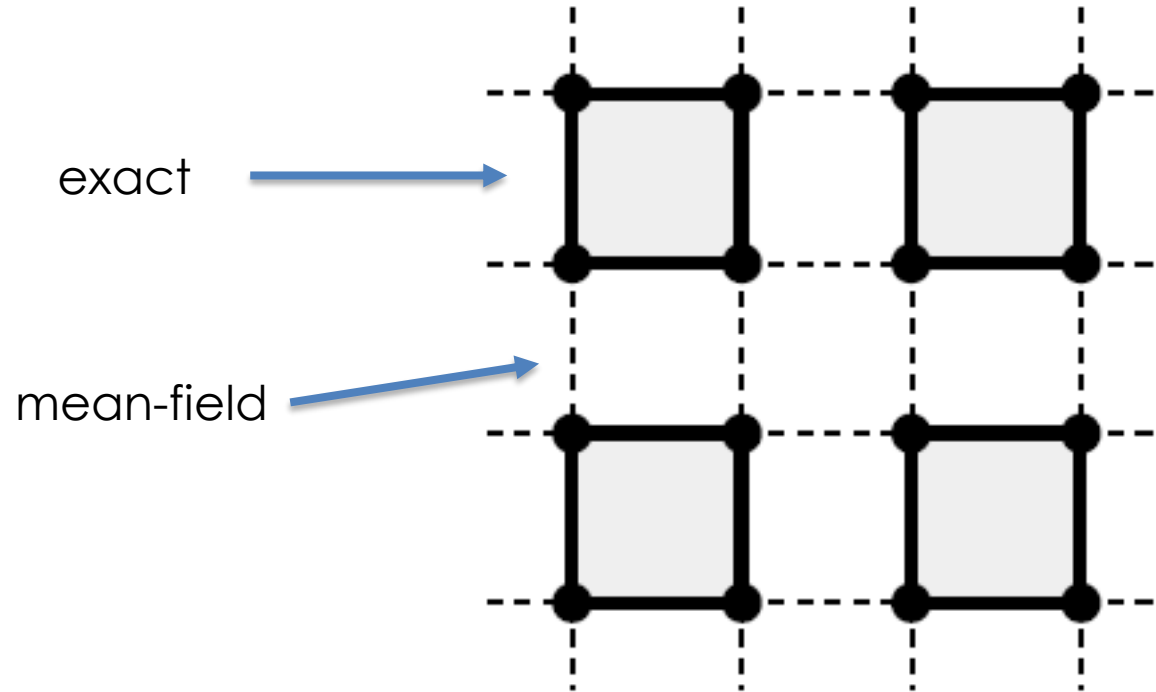
available online

デュアルフェルミオン法を数式なしで解説



Non-local interactions

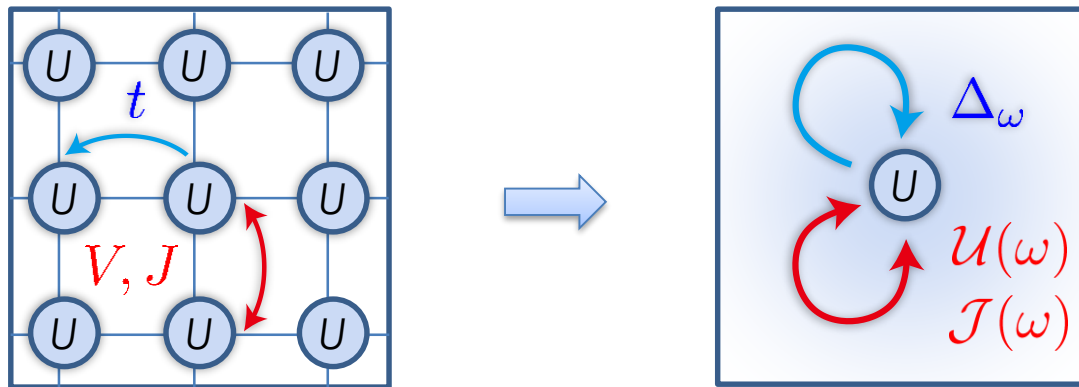
Non-local interactions in cluster DMFT



Non-local interactions in DMFT beyond MF

$$H = \sum_{ij} t_{ij} c_i^\dagger c_j + \sum_i H_{\text{loc}}(i) + \sum_{ij} V_{ij} n_i n_j + \sum_{ij} J_{ij} \mathbf{S}_i \cdot \mathbf{S}_j$$

decoupling with auxiliary bosons
→ bosonic DMFT



Retarded interactions

CT-QMC:

Werner, Millis 2007, 2011

JO 2013, Steiner, Nomura, Werner, 2015

- DMFT for quantum spins
 - Quantum spin glass
Bray, Moore 1980, Sachdev, Ye 1993
Grepel, Rozenberg 1998, Georges et al. 2000
 - 1/d fluctuations around MF
Kuramoto, Fukushima 1998, JO, Kuramoto 2013
 - Impurity embedded in AFM
Vojta et al. 2000
- For electrons systems...
 - Random coupling model
Parcollet, Georges 1999, JO, Vollhardt 2013
 - Non-random coupling model (Extended-DMFT)
Smith, Si 2000, Haule et al. 2002,
Sun, Kotliar 2002 GW+extendedDMFT

$$H = \sum_{ij} t_{ij} c_i^\dagger c_j + \sum_i H_{\text{loc}}(i) + \sum_{ij} V_{ij} n_i n_j + \sum_{ij} J_{ij} \mathbf{S}_i \cdot \mathbf{S}_j$$



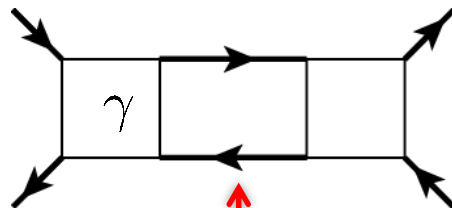
decoupling

dual fermion

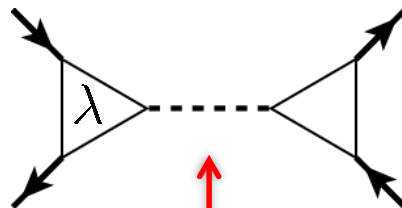


decoupling

dual boson



dual fermion
(χ_{q0})



dual boson
(J_q)

treats χ_{q0} and J_q
on equal footing

Perturbation theory around (E-)DMFT solution

Comparison in terms of quantities used

Name	Authors	Input to effective lattice	Input to effective impurity
Dual boson w/ dual fermion	Rubtsov et al. 2012	$G, \Gamma; D, \Lambda$	$\mu, U, \Delta(\omega); U(\omega), J(\omega)$
DMFT + GW	Sun, Kotliar 2002 Biermann et al. 2003	$G, U; V, J$	$\mu, U, \Delta(\omega)$
TRILEX	Ayral, Parcollet 2015	$G, U; \Lambda$	$\mu, U, \Delta(\omega); U(\omega)$

i. Cluster extensions

- short-range correlations
- key: finite-size effect, sign problem

ii. Diagrammatic extensions

- long-range correlations
- key: local vertex calculations, cross-channel fluctuations

iii. Non-local interactions

- treating χ_0 and V, J on equal footing
- key: retarded interaction, electron-phonon-like diagrams