## Unkonventionelle Supraleitung

## Serie 8

Verteilung: 20.Dezember

Abgabe: 10.Januar

The generalized BCS theory with the Zeeman effect.

8.1 Let us consider the following Hamiltonian for a superconductor under a magnetic field,

$$H = H_{\rm BCS} + H_{\rm Z}.$$

 $H_{\text{BCS}}$  is the same as the Hamiltonian in the problem 4.1 in Serie 4 (the notations are also the same):

$$H_{\rm BCS} = \sum_{k} C_k^{\dagger} \check{\varepsilon}_k C_k,$$

$$C_{k}^{\dagger} = \begin{pmatrix} c_{k\uparrow}^{\dagger}, & c_{k\downarrow}^{\dagger}, & c_{-k\uparrow}, & c_{-k\downarrow} \end{pmatrix}, \qquad \check{\varepsilon}_{k} = \frac{1}{2} \begin{pmatrix} \xi_{k}\hat{\sigma}_{0} & \hat{\Delta}_{k} \\ \hat{\Delta}_{k}^{\dagger} & -\xi_{k}\hat{\sigma}_{0} \end{pmatrix}, \qquad C_{k} = \begin{pmatrix} c_{k\uparrow} \\ c_{k\downarrow} \\ c_{-k\uparrow}^{\dagger} \\ c_{-k\downarrow}^{\dagger} \end{pmatrix}.$$

The Zeeman term  $H_{\rm Z}$  is written with the Pauli matrices  $\hat{\vec{\sigma}}$  and the magnetic field  $\vec{H}$  as

$$H_{\rm Z} = -\mu_{\rm B} \sum_{k,s_1,s_2} c^{\dagger}_{ks_1} (\vec{\sigma}_{s_1s_2} \cdot \vec{H}) c_{ks_2}$$
  
= 
$$\sum_k C^{\dagger}_k \frac{1}{2} \begin{pmatrix} -\mu_{\rm B} (\hat{\vec{\sigma}} \cdot \vec{H}) & 0 \\ 0 & \mu_{\rm B} (\hat{\vec{\sigma}}^T \cdot \vec{H}) \end{pmatrix} C_k,$$

where we have omitted the c-number term in the last line. Hence,

$$\begin{split} H &= H_{\rm BCS} + H_{\rm Z} \\ &= \sum_{k} C_{k}^{\dagger} \frac{1}{2} \begin{pmatrix} \xi_{k} \hat{\sigma}_{0} & \hat{\Delta}_{k} \\ \hat{\Delta}_{k}^{\dagger} & -\xi_{k} \hat{\sigma}_{0} \end{pmatrix} C_{k} + \sum_{k} C_{k}^{\dagger} \frac{1}{2} \begin{pmatrix} -\mu_{\rm B} (\hat{\vec{\sigma}} \cdot \vec{H}) & 0 \\ 0 & \mu_{\rm B} (\hat{\vec{\sigma}}^{T} \cdot \vec{H}) \end{pmatrix} C_{k} \\ &= \sum_{k} C_{k}^{\dagger} \frac{1}{2} \begin{pmatrix} \xi_{k} \hat{\sigma}_{0} - \mu_{\rm B} (\hat{\vec{\sigma}} \cdot \vec{H}) & \hat{\Delta}_{k} \\ \hat{\Delta}_{k}^{\dagger} & -(\xi_{k} \hat{\sigma}_{0} - \mu_{\rm B} (\hat{\vec{\sigma}}^{T} \cdot \vec{H})) \end{pmatrix} C_{k} \\ &\equiv \sum_{k} C_{k}^{\dagger} \frac{1}{2} \begin{pmatrix} \hat{T}_{1k} & \hat{\Delta}_{k} \\ \hat{\Delta}_{k}^{\dagger} & -\hat{T}_{2k} \end{pmatrix} C_{k} \\ &\equiv \sum_{k} C_{k}^{\dagger} \vec{\varepsilon}_{k}^{\prime} C_{k}, \end{split}$$

where

$$\begin{aligned} \hat{T}_{1k} &= \xi_k \hat{\sigma}_0 - \mu_{\rm B} (\hat{\vec{\sigma}} \cdot \vec{H}), \\ \hat{T}_{2k} &= \xi_k \hat{\sigma}_0 - \mu_{\rm B} (\hat{\vec{\sigma}}^T \cdot \vec{H}). \end{aligned}$$

Here,  $\hat{T}_{1,-k} = \hat{T}_{1k}$  and  $\hat{T}_{2,-k} = \hat{T}_{2k}$  because  $\xi_{-k} = \xi_k$ . One can also confirm easily that  $\hat{T}_{2k}^* = \hat{T}_{1k}$  because  $\xi_k$  and  $\vec{H}$  are real. From now on, let us assume that  $\vec{H} = (0, 0, H_z) \parallel \hat{z}$  and  $\hat{u}_k = u_k^0 \hat{\sigma}_0 + u_k^z \hat{\sigma}_z$ . When  $\vec{H} \parallel \hat{z}$ ,  $\hat{T}_{1k} = \hat{T}_{2k}$ .

The Hamiltonian H is diagonalized as

$$H = \sum_{k} \left( C_{k}^{\dagger} \check{U}_{k} \right) \left( \check{U}_{k}^{\dagger} \check{\varepsilon}_{k}^{\prime} \check{U}_{k} \right) \left( \check{U}_{k}^{\dagger} C_{k} \right)$$
$$= \sum_{k} A_{k}^{\dagger} \check{E}_{k} A_{k},$$

with

$$\check{E}_k = \frac{1}{2} \begin{pmatrix} \hat{E}_k & 0\\ 0 & -\hat{E}_{-k} \end{pmatrix} \quad \text{and} \quad \hat{E}_k = \begin{pmatrix} E_{k,+} & 0\\ 0 & E_{k,-} \end{pmatrix},$$

by the Bogoliubov transformation:

$$A_k = \check{U}_k^{\dagger} C_k, \qquad \check{U}_k = \begin{pmatrix} \hat{u}_k & \hat{v}_k \\ \hat{v}_{-k}^* & \hat{u}_{-k}^* \end{pmatrix}, \qquad \check{U}_k^{\dagger} = \begin{pmatrix} \hat{u}_k^{\dagger} & \hat{v}_{-k}^T \\ \hat{v}_k^{\dagger} & \hat{u}_{-k}^T \end{pmatrix}.$$

Here,  $\check{U}_k^{\dagger}\check{U}_k = \check{U}_k\check{U}_k^{\dagger} = \check{1}.$ 

a) Show that in the case of the singlet state  $\hat{\Delta}_k = \Psi i \hat{\sigma}_y$ , the eigen values are given as  $E_{k,+} = \sqrt{\xi_k^2 + |\Delta_k|^2} - \mu_{\rm B} H_z$ , and  $E_{k,-} = \sqrt{\xi_k^2 + |\Delta_k|^2} + \mu_{\rm B} H_z$ .

**b)** Show that in the case of the unitary triplet state  $\hat{\Delta}_k = \vec{d}_k \cdot \hat{\vec{\sigma}} i \hat{\sigma}_y$  with  $\vec{d} \perp \vec{H}$ , namely with  $\vec{d} = (d_x, d_y, 0)$ , the eigen values are given as  $E_{k,+} = \sqrt{(\xi_k - \mu_{\rm B}H_z)^2 + |\Delta_k|^2}$ , and  $E_{k,-} = \sqrt{(\xi_k + \mu_{\rm B}H_z)^2 + |\Delta_k|^2}$ .

c) Show that in the case of the unitary triplet state  $\hat{\Delta}_k = \vec{d}_k \cdot \hat{\vec{\sigma}} i \hat{\sigma}_y$  with  $\vec{d} \parallel \vec{H}$ , namely with  $\vec{d} = (0, 0, d_z)$ , the eigen values are given as  $E_{k,+} = \sqrt{\xi_k^2 + |\Delta_k|^2} - \mu_{\rm B} H_z$ , and  $E_{k,-} = \sqrt{\xi_k^2 + |\Delta_k|^2} + \mu_{\rm B} H_z$ .

<u>Hint</u>: Considering the equation  $\check{\varepsilon}'_k \check{U}_k = \check{U}_k \check{E}_k$ , four equations will be obtained. Only two of them are independent equations:

$$\hat{T}_{1k}\hat{u}_k + \hat{\Delta}_k \hat{v}^*_{-k} = \hat{u}_k \hat{E}_k, \hat{\Delta}^{\dagger}_k \hat{u}_k - \hat{T}_{2k} \hat{v}^*_{-k} = \hat{v}^*_{-k} \hat{E}_k.$$

From the former equation, one can calculate  $\hat{v}_{-k}^*$  using the property of the singlet and unitary triplet states:  $\hat{\Delta}_k \hat{\Delta}_k^\dagger = \hat{\Delta}_k^\dagger \hat{\Delta}_k = |\Delta_k|^2 \hat{\sigma}_0$  with  $|\Delta_k|^2 \equiv \frac{1}{2} \text{Tr}[\hat{\Delta}_k \hat{\Delta}_k^\dagger]$ . Substituting  $\hat{v}_{-k}^*$  into the latter equation, one will obtain the following equation.

$$|\Delta_{k}|^{4}\hat{u}_{k} - \hat{\Delta}_{k}\hat{T}_{2k}\hat{\Delta}_{k}^{\dagger}\left(\hat{u}_{k}\hat{E}_{k} - \hat{T}_{1k}\hat{u}_{k}\right) = |\Delta_{k}|^{2}\left(\hat{u}_{k}\hat{E}_{k} - \hat{T}_{1k}\hat{u}_{k}\right)\hat{E}_{k}.$$

Assume that  $\vec{H} = (0, 0, H_z) \parallel \hat{z}$  and  $\hat{u}_k = u_k^0 \hat{\sigma}_0 + u_k^z \hat{\sigma}_z$ . Then, for each case of the pairing states, calculating each term by explicitly considering the matrix elements, one will obtain equations for  $E_{k,\pm}$ .

8.2 Let us consider the same situation as in the problem 8.1.

Calculate  $\hat{u}_k$  and  $\hat{v}_k$  for the singlet state, the unitary triplet state with  $\vec{d} \perp \vec{H}$ , and the unitary triplet state with  $\vec{d} \parallel \vec{H}$ .

 $\underline{\text{Hint}}$ :

As mentioned above, one can express  $\hat{v}_{-k}^*$  by  $\hat{u}_k$  from the equation:

$$\hat{T}_{1k}\hat{u}_k + \hat{\Delta}_k\hat{v}^*_{-k} = \hat{u}_k\hat{E}_k$$

Then,  $\hat{v}_k$  and  $\hat{v}_k^{\dagger}$  are obtained from  $\hat{v}_{-k}^*$ .

Owing to  $\check{U}_k \check{U}_k^{\dagger} = \check{1}$ , two independent equations are obtained:

$$\hat{u}_{k}\hat{u}_{k}^{\dagger} + \hat{v}_{k}\hat{v}_{k}^{\dagger} = \hat{\sigma}_{0}, \\ \hat{v}_{-k}^{*}\hat{u}_{k}^{\dagger} + \hat{u}_{-k}^{*}\hat{v}_{k}^{\dagger} = 0.$$

From these equations, one can determine  $\hat{u}_k$  and  $\hat{v}_k$  for each pairing state.