## Unkonventionelle Supraleitung

## Serie 4

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**4.1** Generalized BCS theory. Consider the Hamiltonian given by Eq. (2.15) or (93) in the theory lecture notes:

$$H = \sum_{k} C_k^{\dagger} \check{\varepsilon}_k C_k,$$

with

$$C_{k} = \begin{pmatrix} c_{k\uparrow} \\ c_{k\downarrow} \\ c^{\dagger}_{-k\uparrow} \\ c^{\dagger}_{-k\downarrow} \end{pmatrix} \quad \text{and} \quad \check{\varepsilon}_{k} = \frac{1}{2} \begin{pmatrix} \xi_{k}\hat{\sigma}_{0} & \hat{\Delta}_{k} \\ \hat{\Delta}^{\dagger}_{k} & -\xi_{k}\hat{\sigma}_{0} \end{pmatrix},$$

where  $\hat{\sigma}_0$  is the 2 × 2 unit matrix.  $\xi_{-k} = \xi_k$ .  $C_k^{\dagger} = (c_{k\uparrow}^{\dagger}, c_{k\downarrow}^{\dagger}, c_{-k\uparrow}, c_{-k\downarrow})$ .  $\hat{\Delta}_k$  possesses a symmetry:  $\hat{\Delta}_{-k} = -\hat{\Delta}_k^T$ , ( $\hat{\bullet}^T$  means a transpose matrix). Here, we have omitted an unimportant classical-number term K in the Hamiltonian of Eq. (2.15) or (93). As a notation, "hat"  $\hat{\bullet}$  denotes the 2 × 2 matrix in the spin space, and "check"  $\check{\bullet}$  denotes the 4 × 4 matrix composed of the 2 × 2 particle-hole (Nambu) space and the 2 × 2 spin space.

The above Hamiltonian is known to be diagonalized as

$$H = \sum_{k} \left( C_{k}^{\dagger} \check{U}_{k} \right) \left( \check{U}_{k}^{\dagger} \check{\varepsilon}_{k} \check{U}_{k} \right) \left( \check{U}_{k}^{\dagger} C_{k} \right)$$
$$= \sum_{k} A_{k}^{\dagger} \check{E}_{k} A_{k},$$

with

$$\check{E}_k = \frac{1}{2} \begin{pmatrix} \hat{E}_k & 0\\ 0 & -\hat{E}_{-k} \end{pmatrix} \quad \text{and} \quad \hat{E}_k = \begin{pmatrix} E_{k,+} & 0\\ 0 & E_{k,-} \end{pmatrix},$$

by the Bogoliubov transformation:

$$A_k = \check{U}_k^{\dagger} C_k$$
 with  $\check{U}_k = \begin{pmatrix} \hat{u}_k & \hat{v}_k \\ \hat{v}_{-k}^* & \hat{u}_{-k}^* \end{pmatrix}$ .

Here,  $\check{U}_k^{\dagger}\check{U}_k = \check{U}_k\check{U}_k^{\dagger} = \check{1}$  with the  $4 \times 4$  unit matrix  $\check{1}$ .

Show the followings for the unitary states (i.e., for  $\hat{\Delta}_k \hat{\Delta}_k^{\dagger} = \hat{\Delta}_k^{\dagger} \hat{\Delta}_k = |\Delta_k|^2 \hat{\sigma}_0$  with  $|\Delta_k|^2 \equiv \frac{1}{2} \text{Tr}[\hat{\Delta}_k \hat{\Delta}_k^{\dagger}]$  and  $|\Delta_{-k}| = |\Delta_k|$ ):

$$E_{k,+}^2 = E_{k,-}^2 = \xi_k^2 + |\Delta_k|^2 \quad (\equiv E_k^2), \tag{1}$$

and

$$\hat{u}_{k} = \frac{(E_{k} + \xi_{k})\hat{\sigma}_{0}}{\sqrt{2E_{k}(E_{k} + \xi_{k})}}, \qquad \hat{v}_{k} = \frac{-\hat{\Delta}_{k}}{\sqrt{2E_{k}(E_{k} + \xi_{k})}}.$$
(2)

Here, we assume  $E_k > 0$ . In your calculation, assume  $\hat{u}_k = u_k \hat{\sigma}_0$  and  $u_k$  is real.

4.2 Consider the gap equation given in Eq. (2.22) or (100) in the theory lecture notes

$$\Delta_{k,s_1s_2} = -\sum_{k',s_3s_4} V_{k,k';s_1s_2s_3s_4} \frac{\Delta_{k',s_4s_3}}{2E_{k'}} \tanh\left(\frac{E_{k'}}{2k_{\rm B}T}\right),$$

and the pairing interaction in Eq. (2.23) or (101) having the form

$$V_{k,k';s_1s_2s_3s_4} = J^0_{k,k'}\sigma^0_{s_1s_4}\sigma^0_{s_2s_3} + J_{k,k'}\vec{\sigma}_{s_1s_4}\cdot\vec{\sigma}_{s_2s_3}.$$

Here,  $\hat{\sigma}^0$  is the 2 × 2 unit matrix and  $\hat{\vec{\sigma}} = (\hat{\sigma}^x, \hat{\sigma}^y, \hat{\sigma}^z)$  are the Pauli matrices.  $A_{ss'}$  denotes the ss' matrix element of  $\hat{A}$ ,  $(s, s' = \{\uparrow, \downarrow\})$ .  $\hat{A}$  stands for  $\hat{\Delta}_k$ ,  $\hat{\sigma}^0$ , and  $\hat{\vec{\sigma}}$ .

Show that the gap equation can be written as

$$\Psi_{k} = -\sum_{k'} (J_{k,k'}^{0} - 3J_{k,k'}) \frac{\Psi_{k'}}{2E_{k'}} \tanh\left(\frac{E_{k'}}{2k_{\rm B}T}\right)$$

for singlet states  $\hat{\Delta}_k = \Psi_k i \hat{\sigma}^y$ , and

$$\vec{d}_{k} = -\sum_{k'} (J_{k,k'}^{0} + J_{k,k'}) \frac{\vec{d}_{k'}}{2E_{k'}} \tanh\left(\frac{E_{k'}}{2k_{\rm B}T}\right)$$

for triplet states  $\hat{\Delta}_k = \vec{d}_k \cdot \hat{\vec{\sigma}} i \hat{\sigma}^y$ .